

FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 18025-1014

SERIAL NO. 09/887,496

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE **STATEMENT**

APPLICANT Banerjee et al.

FILING DATE June 22, 2001 **GROUP** 1617

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | | D | осим | IENT N | IUMBI | ≣R | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|---|---|---|------|--------|-------|----|---|----------|-------------------|-------|--------------|----------------|
| M | Α | 6 | 4 | 6 | 1 | 5 | 9 | 1 | 10/08/02 | Keller et al. | 424 | 45 | 08/04/99 |
| No | В | 6 | 4 | 8 | 1 | 4 | 3 | 5 | 11/19/02 | Hochrainer et al. | 128 | 200.14 | 05/31/01 |
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FOREIGN PATENT DOCUMENTS

| | | | D | CUM | ENT N | IUMBI | ĒR | | DATE | COUNTRY | CLASS | SUB CLASS | Trans Yes | lation No |
|-----|-----|---|---|-----|-------|-------|----|---|----------|---------|-------|--------------|--------------|--------------|
| Mo | С | 0 | 0 | 0 | 0 | 1 | 8 | 1 | 01/06/00 | РСТ | | | | |
| Mo | D | 0 | 0 | 2 | 3 | 0 | 3 | 7 | 04/27/00 | PCT | | | | X* |
| MS | - E | 0 | 1 | 7 | 8 | 7 | 3 | 7 | 10/25/01 | PCT | | | | |
| dia | F | 0 | 3 | 7 | 0. | 6 | 3 | 2 | 05/30/90 | EP (A) | | | | |
| MA | G | 9 | 5 | 3 | 1 | 9 | 6 | 4 | 11/30/95 | PCT | | | | |
| Mp | Н | 9 | 9 | 2 | 5 | 3 | 5 | 9 | 05/27/99 | РСТ | | | | |

X* = An English language equivalent is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| Ms | ı | Smaldone et al., "Budesonide Inhalation Suspension in Chemically Compatable With Other Nebulizing Formulations," Chest 118(4)Suppl: 985 (2000) |
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EXAMINER

DATE CONSIDERED

05/16/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: FORMOTEROL/STEROID BRONCHODILATING COMPOSITIONS AND METHODS THEREOF

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LIST OF PATENTS AND LIBERATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

| | 1 | | | | | <u>U.</u> , | 5. P | AIC | NT DOCU | IVIENTS | | | |
|---------------------|----|---|---|------|--------|-------------|------|-----|----------|--------------------------|-------|--------------|----------------|
| EXAMINER INITIAL | | | D | OCUM | IENT I | NUMB | ER | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
| | Α | 4 | 3 | 3 | 5 | 1 | 2 | 1 | 06/15/82 | Phillipps et al. | 424 | 241 | 02/13/81 |
| | В | 4 | 5 | 7 | 8 | 2 | 2 | 1 | 03/25/86 | Phillipps et al. | 260 | 397.1 | 07/14/83 |
| | С | 4 | 8 | 2 | 6 | 6 | 8 | 9 | 05/02/89 | Violanto <i>et al</i> . | 424 | 489 | 05/17/85 |
| | D | 4 | 9 | 8 | 5 | 4 | 1 | 8 | 01/15/91 | Richards | 514 | 179 | 12/23/87 |
| | E | 5 | 1 | 2 | 6 | 1 | 2 | 3 | 06/30/92 | Johnson | 424 | 45 | 02/01/91 |
| | F | 5 | 1 | 4 | 5 | 6 | 8 | 4 | 09/08/92 | Liversidge <i>et al.</i> | 424 | 489 | 01/25/91 |
| | G | 5 | 3 | 7 | 6 | 3 | 5 | 9 | 12/27/94 | Johnson | 424 | 46 | 07/07/92 |
| | Н | 5 | 5 | 1 | 0 | 1 | 1 | 8 | 04/23/96 | Bosch et al. | 424 | 489 | 02/14/95 |
| | ı | 5 | 5 | 5 | 2 | 1 | 6 | 0 | 09/03/96 | Liversidge <i>et al.</i> | 424 | 489 | 03/13/95 |
| | J | 5 | 6 | 4 | 7 | 3 | 4 | 7 | 07/15/97 | Van Oort | 128 | 203.15 | 10/21/94 |
| | К | 5 | 6 | 5 | 8 | 5 | 4 | 9 | 08/19/97 | Akehurst <i>et al.</i> | 424 | 45 | 05/19/95 |
| | L | 5 | 7 | 0 | 9 | 8 | 8 | 4 | 01/20/98 | Trofast <i>et al</i> . | 424 | 489 | 08/25/94 |
| | М | 5 | 7 | 3 | 6 | 1 | 2 | 4 | 04/07/98 | Akehurst <i>et al.</i> | 424 | 45 | 05/30/95 |
| | N | 5 | 7 | 5 | 3 | 2 | 1 | 8 | 05/19/98 | Smith et al. | 424 | 85.2 | 05/03/96 |
| | 0 | 5 | 7 | 8 | 5 | 9 | 5 | 2 | 07/28/98 | Taylor <i>et al</i> . | 424 | 46 | 05/12/95 |
| | Р | 5 | 8 | 2 | 3 | 1 | 8 | 2 | 10/20/98 | Van Oort | 128 | 203.12 | 09/20/96 |
| | α. | 5 | 8 | 4 | 9 | 2 | 6 | 5 | 12/15/98 | Li-Bovet <i>et al.</i> | 424 | .45 | 09/27/95 |
| | R | 5 | 9 | 1 | 6 | 5 | 4 | 0 | 06/29/99 | Akehurst et al. | 424 | . 45 | 04/06/98 |
| | s | 5 | 9 | 1 | 9 | 4 | 3 | 5 | 07/06/99 | Taylor <i>et al.</i> | 424 | 45 | 05/12/95 |
| | Т | 5 | 9 | 2 | 2 | 3 | 0 | 6 | 07/13/99 | Akehurst et al. | 424 | 45 | 04/15/98 |
| | U | 5 | 9 | 5 | 5 | 4 | 3 | 9 | 09/21/99 | Green | 514 | 23 | 12/22/95 |
| | V | 5 | 9 | 7 | 2 | 3 | 2 | 7 | 10/26/99 | Lin et al. | 424 | 78.05 | 10/22/97 |
| - 10 | w | 5 | 9 | 7 | 2 | 9 | 2 | 0 | 10/26/99 | Seidel et al. | 514 | 171 | 02/12/98 |
| MA | X | 5 | 9 | 9 | 3 | 7 | 8 | 1 | 11/30/99 | Snell et al. | 424 | 45 | 05/19/95 |

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FILING DATE GROUP
June 22, 2001 1617

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | | D | эсим | ENT N | UMBI | ≣R | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|----|---|---|------|-------|------|----|---|----------------|-------------------------|-------|--------------|----------------|
| N | Υ | 5 | 9 | 9 | 3 | 7 | 8 | 2 | 11/30/99 | Gardner | 424 | 45 | 04/28/98 |
| | Z | 6 | 0 | 0 | 1 | 3 | 3 | 6 | 12/14/99 | Gordon | 424 | 46 | 12/29/97 |
| | АА | 6 | 0 | 6 | 8 | 8 | 6 | 0 | 05/30/00 | Carlsson et al. | 424 | 601 | 02/02/96 |
| | AB | 6 | 1 | 1 | 2 | 9 | 2 | 4 | 09/26/00 | Mistry et al. | 424 | 45 | 06/07/95 |
| | AC | 6 | 1 | 5 | 3 | 1 | 7 | 3 | 11/28/00 | Sapsford <i>et al.</i> | 424 | 45 | 12/08/95 |
| | AD | 6 | 1 | 8 | 3 | 7 | 8 | 2 | B1 02/06/01 | Hallworth | 424 | 497 | 03/13/95 |
| | AE | 6 | 2 | 0 | 0 | 5 | 4 | 9 | B1 03/13/01 | Akehurst <i>et al.</i> | 424 | 45 | 03/09/99 |
| | AF | 6 | 2 | 2 | 1 | 3 | 9 | 8 | B1 04/24/01 | Jakupovic <i>et al.</i> | 424 | 489 | 04/12/96 |
| | AG | 6 | 2 | 4 | 1 | 9 | 6 | 9 | B1 06/05/01 | Saidi <i>et al.</i> | 424 | 45 | 06/26/98 |
| | АН | 6 | 2 | 5 | 1 | 3 | 6 | 8 | B1 06/26/01 | Akehurst <i>et al.</i> | 424 | 45 | 06/17/97 |
| | Al | 6 | 2 | 5 | 3 | 7 | 6 | 2 | B1 07/03/01 | Britto | 128 | 200.14 | 03/31/97 |
| | AJ | 6 | 2 | 8 | 7 | 6 | 9 | 3 | B1 09/11/01 | Savoir <i>et al.</i> | 428 | 402 | 09/11/01 |
| | AK | 6 | 3 | 0 | 6 | 3 | 6 | 8 | B1 10/23/01 | Taylor <i>et al.</i> | 424 | 45 | 10/23/01 |
| | AL | 6 | 3 | 0 | 6 | 3 | 6 | 9 | B1 10/23/01 | Akehurst <i>et al.</i> | 424 | 45 | 04/28/00 |
| | AM | 6 | 3 | 0 | 9 | 6 | 2 | 3 | B1 10/30/01 | Weers et al. | 424 | 45 | 12/22/98 |
| | AN | 6 | 3 | 0 | 9 | 6 | 2 | 4 | B1 10/30/01 | Sapsford et al. | 424 | 45 | 08/29/00 |
| | AO | 6 | 3 | 6 | 5 | 1 | 9 | 0 | B1 04/02/02 | Gordon et al. | 424 | 489 | 03/17/00 |
| (W) | AP | 6 | 4 | 0 | 6 | 7 | 1 | 8 | B1 06/18/02 | Cooper | 424 | 489 | 10/23/97 |

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LIST OF PATENTS AND PUBLICANT'S INFORMATION DISCLOSURE
STATEMENT

APPLICANT Banerjee *et al.* FILING DATE

June 22, 2001

GROUP 1617 P18801298

Sheet 3 of 3

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | | D | осим | ENT N | IUMBI | ĒR | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|-------|---|---|------|-------|-------|----|---|------------------|-------------------------|-------|--------------|----------------|
| W | M/ AQ | 6 | 4 | 1 | 3 | 4 | 9 | 6 | B1 07/02/02 | Goodman <i>et al</i> . | 424 | 45 | 06/04/99 |
| 1 | AR | 6 | 4 | 1 | 6 | 7 | 4 | 2 | B1 07/09/02 | Stefely et al. | 424 | 45 | 08/09/00 |
| | AS | 6 | 4 | 2 | 3 | 2 | 9 | 8 | B2 07/23/02 | McNamara <i>et al</i> . | 424 | 45 | 06/08/99 |
| | АТ | 6 | 4 | 5 | 1 | 2 | 8 | 7 | B1 09/17/02 | Desimone <i>et al</i> . | 424 | 45 | 05/26/00 |
| | AU | 6 | 4 | 6 | 4 | 9 | 5 | 8 | B1 10/15/02 | Bernini <i>et al.</i> | 424 | 43 | 10/28/99 |
| | AV | 6 | 4 | 7 | 5 | 4 | 6 | 7 | B1 11/05/02 | Keller <i>et al.</i> | 424 | 45 | 08/02/99 |
| | AW | 6 | 4 | 7 | 9 | 0 | 3 | 5 | B1 11/12/02 | Cripps et al. | 424 | 45 | 09/11/00 |
| W | AX | 6 | 4 | 8 | 2 | 3 | 9 | 0 | B1 - 11/19/02 | Hiscocks <i>et al.</i> | 424 | 45 | 12/20/99 |
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FOREIGN PATENT DOCUMENTS

| DO | CUMENT N | IUMBI | ≣R | | DATE | COUNTRY | CLASS | SUB CLASS | Trans Yes | slation No _ |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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